

## Supporting Documents Guide

The purpose of this document is to provide a guideline on which documents are expected to be provided on a Submission and Renewal of a LEI Application.

Legal Entity Type: **General, International Organization and Sole Proprietor**

For any of the below Entity Legal form Codes:

- Close Corporation
- Co-Operatives (Primary)
- Co-Operatives (Secondary)
- Co-Operatives (Tertiary)
- Non-Profit Company
- Personal Liability
- Private Company
- Public Company
- State Owned Company
- Trust

The below Documentation is required:

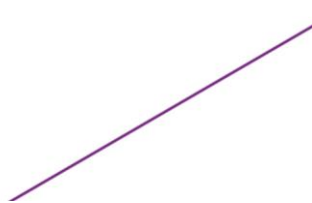
### **Authorised and Proxy Representative**

- Authorisation Letter
- ID Document
- Board Resolution (Optional)

### **Entity**

- CIPC Certificate of Incorporation or CIPC Registration Certificate
- Name Change (COR 14.3) (If applicable)
- Status Change (If applicable)
- Deed of Trust (For Trusts)
- Gazette – Official Act (For Non-Profit and State-Owned Companies)
- Affidavit (Optional)

### **Direct and Ultimate Parent**

- Audited Consolidated financial statements.
  - Shareholders Agreement or Certificate
  - Affidavit (Optional)
  - Organogram (Optional)
- 

Legal Entity Type: **Branch**

For any of the below Entity Legal form Codes:

- External Company

The below Documentation is required:

### **Authorised and Proxy Representative**

- Authorisation Letter
- ID Document
- Board Resolution (Optional)

### **Entity**

- CIPC Certificate of Incorporation or CIPC Registration Certificate
- Name Change (COR 14.3) (if applicable)
- Status Change (if applicable)
- Affidavit (Optional)

### **Direct and Ultimate Parent**

- Audited Consolidated financial statements.
- Shareholders Agreement or Certificate
- Affidavit (Optional)
- Organogram (Optional)

Legal Entity Type: **Fund**

For any of the below Entity Legal form Codes:

- Fund
- Sub-fund

The below Documentation is required:

## **Authorised and Proxy Representative**

- Authorisation Letter
- ID Document
- Board Resolution (Optional)

## **Entity**

- Overall Scheme Deed
- Deed of Individual Fund
- Affidavit (Optional)

## **Direct and Ultimate Parent**

- N/A

## **Fund Relationship**

- Overall scheme deed
- Deed of Individual fund
- Minimum Disclosure Document (applicable to feeder funds only)

Legal Entity Type: **Residential Government Entity**

For any of the below Entity Sub-category types:

- Central Government
- State Government
- Local Government
- Social Government

The below Documentation is required:

### **Authorised and Proxy Representative**

- Authorisation Letter
- ID Document
- Board Resolution (Optional)

### **Entity**

- CIPC Certificate of Incorporation or CIPC Registration Certificate
- Name Change (COR 14.3)
- Status Change
- Affidavit (Optional)

### **Direct and Ultimate Parent**

- Audited Consolidated financial statements.
- Shareholders Agreement or Certificate
- Affidavit (Optional)